



Telecommunication Act – 2001
(Act 2001-36)

FORM 2

TU002

**APPLICATION FOR A MOBILE TELECOMMUNICATIONS
SERVICE PROVIDER LICENCE**

PART A: APPLICANT INFORMATION

(Please read the Notes provided at the back of this Form before completion)

1. Name *(if individual)*:.....

2. Nationality *(if individual)*:.....

3. Address of Applicant *(registered office or principal place of business)*:
.....
.....

4. Mailing Address *(if different from registered office address)*:
.....
.....

5. Applicant is a (n):
Individual Corporation Unincorporated Association Partnership
Please indicate whether this is: a new application an application to modify an existing licence
an application to renew a licence

6. Telephone No.:..... 7. Facsimile No.:

8. "E-mail" address:.....

9. Name of Manager of entity:.....

10. Person in Barbados to be contacted in absence of Manager:

Name:.....

Address:.....

.....

Telephone No:..... "E-mail" Address:.....

11. Facsimile No:.....

12. Indicate whether the applicant is a member of the GSM Association: Yes No

13. Indicate:

(a) the approximate number of persons currently or to be employed by the applicant:.....

(b) the number of technical persons you expect to hire within the next year specific to the proposed network:.....

(c) the number of technical persons who are providing the mobile service and the full complement of technical staff to be employed:.....

PART B: SYSTEMS AND SERVICE DETAILS

14. General description of service to be provided. Please include service options and pricing plans:

.....
.....
.....
.....

15. Proposed service area coverage:

.....
.....

16. Indicate proposed in-service date (if service is to be phased, please indicate phases):

.....
.....
.....
.....

17. Provide any grade of service predictions:

.....
.....
.....

18. Targeted customer base and approximate number of customers applicant is capable of servicing:

.....
.....
.....

19. Indicate

(a) whether equipment needed by customers for access to a mobile service is readily available:

Yes No

(b) when equipment will be available to customers:

.....

(c) how customers will obtain equipment required for the service:

.....

(d) the estimated cost of mobile handsets to consumers in Barbados:

PART C: BASIC QUALIFICATIONS INFORMATION

20. (1) Indicate whether the applicant, or any affiliate of the applicant,

(a) has ever applied for a Service Provider or Carrier Licence in Barbados; Yes No

(b) has ever been refused such licence or a licence renewal; Yes No

(c) has ever had such licence suspended or revoked. Yes No

(2) Where the answer to (a), (b) or (c) is "Yes", please attach an explanation.

21. Indicate whether the applicant, or any affiliate of the applicant currently holds any Service Provider or Carrier Licence in Barbados.

If Yes,

(a) specify the name of the licensee, the type of licence and the date issued;

.....
.....

(b) specify the period in which the applicant, or any affiliate of the applicant has been providing telecommunications service or operating the telecommunications network in Barbados.

.....

22. Indicate whether the applicant, or any affiliate of the applicant currently holds any Spectrum Licence in Barbados.

If Yes,

(a) specify the name of the licensee, the type of licence and the date issued;

.....
.....
.....

(b) specify the period in which the applicant, or any affiliate of the applicant has been providing telecommunications service or operating the telecommunications network in Barbados.

.....
.....
.....
.....

23. Indicate whether the applicant or any affiliate of the applicant, currently has a Dealer's Licence.

Yes No

If "Yes", identify the Licensee and the date issued by the Minister:

Licensee: Date of Issuance:

Indicate

(a) whether the applicant intends to apply for a Dealer's Licence;

(b) when the applicant expects to submit an application for a Dealer's Licence

24. Indicate whether the applicant, or any affiliate of the applicant, currently holds a licence or authorisation to operate a mobile telecommunications network in other countries.

Yes No

If “Yes”, for approximately how many years has the applicant engaged in operating a mobile telecommunications network

25. Indicate whether the applicant, or any affiliate of the applicant, currently holds licences or authorisations to operate mobile telecommunications networks in the Caribbean.

Yes No

If Yes, please identify the countries in the space provided below and the approximate number of years the applicant has engaged in operating mobile telecommunications networks.

Applicant or Affiliate to the Applicant	Country	Number of years Party operating network

26. (1) Indicate whether the applicant, or any affiliate of the applicant for a telecommunications service or network in any Caribbean country

(a) has ever been refused such licence; Yes No

(b) has ever had such licence suspended or revoked. Yes No

(2) Where the answer to (a) or (b) is “Yes”, please attach an explanation.

.....

.....

.....

.....

.....

.....

27. Indicate whether the applicant, or any affiliate of the applicant, currently holds any licences or authorisations to operate a mobile network.

Yes No

Describe in respect of the applicant and staff of the applicant

(a) the experience in operating a mobile network;

(b) the training, qualifications and experience of personnel required to perform or supervise technical services.

.....

.....

.....

.....

.....

.....

28. (1) Indicate whether the applicant, or any affiliate of the applicant ever had any telecommunication licence or authorisation suspended or revoked in any country.

Yes No

If Yes, please attach an explanation.

29. (1) Indicate whether the applicant, or any affiliate of the applicant has ever been convicted of an arrestable offence*

- (a) in Barbados;
- (b) in any CARICOM Member Country;
- (c) in North America;
- (d) in any other Country.

(2) If Yes, please attach an explanation

30. (1) Indicate whether any officer or member of the applicant's managerial staff has ever been convicted of an arrestable offence*

- (a) in Barbados;
- (b) in any CARICOM Member Country;
- (c) in North America;
- (d) in any other Country.

(2) If Yes, please attach an explanation

31. Indicate whether the applicant, or any of the applicant's officers and managerial staff are currently the subject of a charge or indictment for an offence under the law of any country (excluding non-arrestable offences).

Yes No

If Yes, please identify the name of the Licensee and the Licence held.

Licensee: Licence:

32. Indicate whether the applicant, or any affiliate of the applicant, have any shareholdings over 10% in any other Licensed Service Provider, Licensed Carrier, or Licensed Spectrum User in Barbados.

Yes No

If Yes, please identify the name of the Licensee and the Licence held.

Licensee: Licence:

33. Indicate whether the applicant, or any affiliate of the applicant, have any shareholdings over 10% in any licensed telecommunications provider in any CARICOM member state.

Yes No

If Yes, please identify the name of the Licensee and the Licence held.

Licensee: Licence:

34. Indicate whether the applicant, or any affiliate of the applicant, is engaged in the manufacturing of any telecommunications equipment.

Yes No

If Yes, please attach an explanation

35. Indicate whether the applicant has any business activities outside the telecommunications market.

Yes No

If Yes, please briefly describe the nature of the business activities and where such activity is conducted.

.....
.....
.....
.....
.....
.....

PART D: FINANCIAL INFORMATION AND QUALIFICATIONS

36. Applicant must provide a copy of its most recent annual independently audited financial report as well as the most recent unaudited financial reports. If none is available, applicant must submit an attachment explaining why none is available. In addition, the applicant or an officer of the applicant's organization must attest to the validity of the unaudited financial reports. Where the applicant is a consortium all members of the consortium must supply individual financials.

37. Applicant must provide information or verification of its creditworthiness, available capital, projected costs for the first year of service, and source of funding for the first year.

38. If any member of the applicant's board of directors is currently employed by any government,

(a) indicate the name of each such director,

.....

(b) the country each such director is employed by,

.....

(c) the ministry or government agency, and
.....

(d) the nature of the director's work for that government.
.....
.....
.....

39. Indicate whether the applicant's directors are also directors on the board of any other licensed service provider or licensed carrier in Barbados

Yes No

If Yes, state the name of each such director and the other licensed service providers or carriers on which the directors also serves as a director.

.....
.....
.....
.....
.....

40. Indicate whether the applicant, or any affiliate of the applicant has ever been bankrupt or been a director of a company that has become bankrupt or insolvent?

Yes No

If Yes, please attach an explanation and a certified copy of the discharge.

41. Indicate whether the applicant, or any affiliate of the applicant is currently engaged in bankruptcy proceeding?

Yes No

If Yes, please attach an explanation.

42. Applicant must attach a Performance Bond or a Banker's Guarantee issued by a reputable bank that

(a) confirms the financial ability of the applicant to conduct business for at least one year, and

(b) acts as a Guarantee for a Guaranteed Sum of the payment of the annual licence fees for a Mobile Service Provider Licence.

PART E: CERTIFICATION STATEMENT

The applicant certifies that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are true, complete, correct and made in good faith.

The applicant agrees to comply with the *Telecommunications (Public Telecommunications Licensing) Regulations, 2003* with regard to the application procedures and requirements.

If granted a Licence by the Minister, applicant agrees to provide the licensed service in accordance with the *Telecommunications Act, 2001*, Regulations made under the Act and terms and conditions of the licence. A Licensee must pay an annual licence fee in accordance with the *Telecommunications (Licence Fees) Regulations, 2003*.

If granted a licence by the Minister, applicant must have the capability of shutting down any transmitters in the event of a malfunction.

Typed or printed name of party authorised to sign:

Position:

Signature:

Date:

NOTES:

1. This Application Form must be completed in its entirety and submitted to the Ministry with the prescribed application fee in accordance with the instructions provided below.
2. The Minister may request the applicant to provide additional information not contained in this application form.
3. Applicants are responsible for complying with the application procedures and requirements set forth in the *Telecommunications (Public Telecommunications Licensing) Regulations, 2003* issued by the Minister.
4. To be eligible for filing an application, applicant must comply with all legal requirements for holding a licence.
5. Applicants providing false or misleading information will be subject to penalties in accordance with section 92 of the *Telecommunications Act, 2001-36*.
6. A brief description of the proposed network, but not the submitted application, will be made available for public inspection. Information provided in this application will be treated as confidential.
7. Grant of licence is at the discretion of the Minister. Application fees are not refundable.
8. Licences for the provision of mobile service on a resale basis will not be provided at this time. Mobile service providers must provide service using their own mobile network and must submit separate applications for a Mobile Network Carrier Licence and for a Mobile Spectrum Licence. These application forms may be obtained from the Licensing Office of the Ministry responsible for Telecommunications.
9. Persons operating without the required licences are subject to the fines and penalties set out on the *Telecommunications Act, 2001-36*.
10. Applicants granted a licence by the Minister will be responsible for paying an annual licence fee in accordance with the *Telecommunications (Licence Fees) Regulations 2003*.
11. This form may be used to modify a pending application for a mobile telecommunications Service Provider Licence. In such cases, the Ministry should be consulted to determine the appropriate modification fee to be submitted.

General Filing Instructions

1. If this is a request for modification for an application pending or modification of a licence, applicant must consult the Ministry for the appropriate modification fee.
2. To be accepted for filing, applications must be signed by a person authorised by the applicant. By signing, the applicant certifies that the information submitted is true, complete, correct, and made in good faith.
3. The applicant shall submit 2 copies of the application, including supporting documentation and the application fee, to:

The Chief Telecommunications Officer
Telecommunications Unit
Ministry responsible for Telecommunications
Barbados

Instructions for Completion

If this is an application to modify a licence, please attach a copy of the original application submitted. Where there is no change in the information requested in the application form, please put "No Change" next to the

information requested. Where the information requested is not the same, please indicate the modification being proposed and the nature of the change and the reason for the proposed change.

Part A: Applicant Information

Items 6-11: Contact information should be provided for the person the applicant has designated as the person to whom the Ministry should send correspondence.

Part B: System and Service Details

Item 14: Describe the types of services (e.g. voice, voice-mail, data, etc.) and whether analogue or digital. Please note any unique features or services plans.

Item 19: For example, state where customers would be able to purchase mobile handsets or other equipment they need for the applicant's proposed service.

Part C: Basic Qualifications and Information

Items are self-explanatory.

Part D: Financial Information and Qualifications

Items are self-explanatory