

## Telecommunications Act, 2001 (Act 2001 – 36)

## FORM 2A

TU002A

# APPLICATION FOR SERVICE PROVIDER LICENCE (INTERNATIONAL AND DOMESTIC)

# PART A: APPLICANT INFORMATION

	(Please read the Notes provided at the back of this Form before completion)
1.	Name (if individual):
2.	Nationality (if individual):
3.	Address of Applicant (registered office or principal place of business):
4.	Mailing Address (if different from registered office address):
5.	Applicant is a (n):  Individual  Corporation  Unincorporate Association  Partnership  Pease indicate whether this is: a new application  an application to modify an existing licence  an application to renew a licence
6.	Telephone No.: 7. Facsimile No.:
8.	"E-mail" address:
9.	Name of Manager of entity:
10.	Person in Barbados to be contacted in absence of Manager:  Name:  Address:
	Telephone No.: "E-mail" Address:  Facsimile No.:

11.	Has the applicant operated under or carried on business (excluding any mobile telecommunications services or operations) under any name other than the name in this application. Yes No
12.	In addition to the applicant, are there any other parties in interest? Yes \( \subseteq \text{No} \subseteq \text{No} \subseteq \)
	If Yes, please identify:
13.	Indicate
	(a) the approximate number of persons currently or to be employed by applicant:
	(b) the number of persons you expect to hire within the next year specific to the proposed service:
	(c) the approximate number of persons employed who reside in Barbados.
Pal	RT B: SYSTEMS AND SERVICE DETAILS
14.	General description of service to be provided. Please include service options and pricing plans:
15.	Proposed service area coverage:
16.	Indicate proposed in-service date (if service is to be phased, please indicate phases):
17.	Provide any grade of service predictions:

.....

18.	Targeted customer base and approximate number of customers applicant is capable of serving:
19.	Indicate
	(a) whether equipment needed by customers for the service is readily available; $_{\text{Yes}}$ $\square$ $_{\text{No}}$ $\square$
	(b) if not, when will customer equipment be available to customers;
	(c) how will customers obtain equipment required for the service;
	(d) the estimated cost of mobile handsets to consumers in Barbados
PAR	TC: BASIC QUALIFICATIONS INFORMATION
20.	(1) Indicate whether the applicant, or any affiliate of the applicant,
	(a) ever applied for a Service Provider or Carrier Licence in Barbados; Yes No
	(b) ever been refused such licence or a licence renewal; Yes No
	(c) ever had such licence suspended or revoked. Yes No
	(2) Where the answer to (a), (b) or (c) is "Yes", please attach an explanation.
21.	Indicate whether the applicant, or any affiliate of the applicant, currently holds a Service Provider or Carrier Licence in Barbados. Yes No
	IfYes,
	(a) specify the name of the licensee, the type of licence and the date issued:
	(b) specify the period in which the applicant, or any affiliate of the applicant has been providing telecommunications service or operating the telecommunications network in Barbados.

22.	(1) Indicate whether the applicant, or any affiliate of the applicant
	(a) ever applied for a Spectrum Licence in Barbados; Yes No
	(b) ever been refused such licence or a licence renewal; Yes $\square$ No $\square$
	(c) ever had such licence suspended or revoked. Yes \( \square\) No \( \square\)
	(2) Where the answer to (a), (b) or (c) is "Yes", please attach an explanation
23.	Indicate whether the applicant, or any affiliate of the applicant currently holds a Spectrum Licence in Barbados.  Yes No
	If Yes,
	(a) specify the name of the licensee, and the date issued;
	(b) specify the period in which the applicant, or any affiliate of the applicant has been using spectrum in Barbados.
24.	Indicate whether the applicant, or any affiliate of the applicant currently:
	(a) has a Dealer's Licence issued by the Minister in Barbados; Yes No
	If "Yes", identify the Licensee and the date issued;
	(b) intends to apply for a Dealer's Licence in Barbados;
	(c) expects to submit an application for a Dealer's Licence.
	Licensee: Date of Issuance:
25.	Indicate whether the applicant, or any affiliate of the applicant currently holds a licence or authorisation to provide telecommunications service in other countries.
	Yes No No
	If Yes, for approximately how many years has the applicant engaged in operating a telecommunications service:

26.	Indicate whether the applicant, or any affiliate of the applicant currently holds a licence or authorisation to provide telecommunications service in the Caribbean.
	Yes No No
	If Yes, please identify the countries in the space provided below and the approximate number of years the applicant has engaged in providing telecommunications service.
	Applicant or Affiliate Country Number of years Party to the Applicant providing service
27.	(1) Indicate whether the applicant or any affiliate of the applicant for a telecommunications service or network in any Caribbean country
	(a) has been refused such licence; Yes \( \square\) No \( \square\)
	(b) ever had such licence suspended or revoked. Yes $\square$ No $\square$
	(2) Where the answer to (a) or (b) is "Yes", please attach an explanation
28.	Indicate whether the applicant, or any affiliate of the applicant, currently holds any licences or authorisations to own and operate a telecommunication or radio communication network
	Yes No
	Describe in respect of the applicant and staff of the applicant the applicant's experience in operating a mobile telecommunications network.
29.	(1) Indicate whether the applicant, or any affiliate of the applicant ever had any telecommunication licence or authorisation suspended or revoked in any country.
	Yes No No
	If Yes, please attach an explanation

30.	Indicate whether the applicant, or any affiliate of the applicant has ever been convicted of an arrestable offence*
	Yes No No
	(a) in Barbados;
	(b) in any CARICOM Member Country;
	(c) in North America
	(d) in any other Country.
	(2) If Yes, please attach an explanation.
31.	(1) Indicate whether any officer or member of the applicant's managerial staff has ever been convicted of an arrestable offence*
	Yes No No
	(a) in Barbados;
	(b) in any CARICOM Member Country;
	(c) in North America;
	(d) in any other Country.
	(2) If Yes, to any of the above, please attach an explanation
32.	Indicate whether the applicant, or any of the applicant's officers and managerial staff are currently the subject of
<i>32</i> .	a charge or indictment for an offence under the law of any country (excluding non-arrestable offences).
	Yes No No
	If Yes, please identify the name of the Licensee and the Licence held.
	Licensee Licence
33.	Indicate whether the applicant, or any affiliate of the applicant, has any shareholdings over 10% in any other Licensed Service Provider, Licensed Carrier, or Licensed Spectrum User in Barbados.
	Yes No No
	If Yes, please identify the name of the Licensee and the Licence held.
	Licensee Licence
	Literature Literature

<sup>\*</sup>an arrestable offence has the meaning assigned to it by section 2 of the Criminal Law (Arrestable Offences) Act, Cap. 125A.

34.	Indicate whether the applicant, or any affiliate of the applicant, has any shareholdings over 10% in any licensed telecommunications provider in any CARICOM member state.
	Yes No No
	If Yes, please identify the name of the Licensee and the Licence held.
	Licensee Licence
35.	Indicate whether the applicant or any affiliate of the applicant is engaged in the manufacturing of any telecommunications equipment.
	Yes No No
	If Yes, please attach an explanation.
36.	Indicate whether the applicant has any business activities outside the telecommunications market.
	Yes  No  No
	If Yes, please briefly describe the nature of the business activities and where such activity is conducted.
PAR	RT D: FINANCIAL INFORMATION AND QUALIFICATIONS
37.	Applicant must provide a copy of its most recent annual independently audited financial report as well as the most recent unaudited financial reports. If none is available, applicant must submit an attachment explaining why none is available. In addition, the applicant or an officer of the applicant's organization must attest to the validity of the unaudited financial reports. Where the applicant is a consortium all members of the consortium must supply individual financials.
38.	Applicant must provide information or verification of its creditworthiness, available capital, projected costs for the first year of service, and source of funding for the first year.
39.	If any member of the applicant's board of directors is currently employed by any government,
	(a) indicate the name of each such director;
	(b) the country each such director is employed by;
	(c) the ministry or government agency; and
	(d) the nature of the director's work for that government.

40.	Indicate whether any of the applicant's directors are also directors on the board of any other licensed service provider or licensed carrier in Barbados.
	Yes No No
	If Yes, please state the name of each such director and the other licensed service providers or carriers on which the director also serves as a director.
41.	Indicate whether the applicant or any affiliate of the applicant has
	(a) ever been bankrupt; or
	(b) ever been a director of a company that has become bankrupt or insolvent?
	Yes No No
	If Yes, please attach an explanation and a certified copy of the discharge.
42.	Indicate whether the applicant or any affiliate of the applicant, is currently engaged in a bankruptcy proceeding.
	Yes No No
	If Yes, please attach an explanation
43.	Applicant must attach a Performance Bond or a Banker's Guarantee issued by a reputable bank that
	(a) confirms the financial ability of the applicant to conduct business for at least one year, and
	(b) acts as a Guarantee for a Guaranteed Sum of the payment of the annual licence fees for a Service Provider Licence.

PART E: CERTIFICATION STATEMENT
The applicant certifies that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are true, complete, correct, and made in good faith.
The applicant agrees to comply with the <i>Telecommunications (Public Telecommunications Licensing) Regulations</i> , 2003 with regard to the application procedures and requirements.
If granted a Licence by the Minister, applicant agrees to provide the licensed service in accordance with the Telecommunications Act, 2001, Regulations made under that Act and terms and conditions of the licence. A Licensee must pay an annual licence fee in accordance with the Telecommunications (Licence Fees) Regulations, 2003.
Typed or printed name of party authorised to sign:
Position:
Signature:
Date:

#### **NOTES**

- 1. This Application Form must be completed in its entirety and submitted to the Ministry with the prescribed application fee in accordance with the instructions provided below.
- 2. The Minister may request the applicant to provide additional information not contained in this application form.
- 3. Applicants are responsible for complying with the application procedures and requirements set forth in the *Telecommunications (Public Telecommunications Licensing) Regulations*, 2003 issued by the Minister.
- 4. To be eligible for filing an application, applicant must comply with all legal requirements for holding a licence.
- 5. Applicants providing false or misleading information will be subject to penalties in accordance with section 92 of the *Telecommunications Act*, 2001-36.
- 6. A brief description of the proposed network, but not the submitted application, will be made available for public inspection. Information provided in this application will be treated as confidential.
- 7. Grant of licence is at the discretion of the Minister. Application fees are not refundable.
- 8. Persons operating without the required licenses are subject to the fines and penalties set out in the *Telecommunications Act*, 2001-36.
- 9. Applicants granted a licence by the Minister will be responsible for paying an annual licence fee in accordance with the *Telecommunications (Licence Fees) Regulations, 2003.*
- 10. This form may also be used to modify a pending application for an international and domestic service provider licence. In such cases, the Ministry should be consulted to determine the appropriate modification fee to be submitted.

#### **General filing Instructions**

- 1. If this is a request for modification of a licence, applicant must consult the Ministry for the appropriate modification fee.
- 2. To be accepted for filing, applications must be signed by a person authorised by the applicant. By signing, the applicant certifies that the information submitted is true, complete, correct, and made in good faith.
- 3. The applicant shall submit 5 copies of the application, including supporting documentation and the application fee, to:

The Chief Telecommunications Officer Industry and International Business Ministry of Economic Development 'The Business Centre' Upton, St. Michael Barbados.

## **Instructions for Completion**

If this is an application to modify a licence, please attach a copy of the original application submitted. Where there is no change in the information requested in the application form, please put "No Change" next to the information requested. Where the information requested is not the same, please indicate the modification being proposed and the nature of the change and the reason for the proposed change.

## **PART A: Applicant Information**

- *Item 10:* Contact information should be provided for the person the applicant has designated as the person to whom the Ministry should send correspondence.
- *Item 11:* This item pertains to any other business (not mobile telecommunications) that the applicant may have operated under or carried on business. Marketing or the conduct of business of any mobile services under other names is addressed in *Item 26*.
- *Item 12:* Applicants must identify a real party (parties) in interest if different from the applicant. If the applicant is the real party in interest, enter the applicant's name. If not, enter the real party in interest (e.g. a parent or other controlling entity) and any other person with an ownership interest over 10%.
- *Item 13:* The members of the Caribbean Community or CARICOM are: Antigua and Barbuda, Bahamas, Barbados, Belize, Dominica, Grenada, Guyana, Haiti, Jamaica, Montserrat, St. Kitts and Nevis, Saint Lucia, St. Vincent and the Grenadines, Suriname, and Trinidad and Tobago.

## **PART B: System and Service Details**

- *Item 14*: Describe the types of services (e.g. voice, voice-mail, data, etc.) and whether analogue or digital. Please note any unique features or service plans.
- *Item 21:* For example, state where customers would be able to purchase mobile handsets or other equipment they need for the applicant's proposed service.

## **PART C: Basic Qualifications and Information**

Items are self-explanatory.

#### **PART D: Financial Information and Qualifications**

Items are self-explanatory.